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PATENT

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Case Docket No. TKIDO.001AUS  
Date: April 17, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yoko Kido  
App. No. : 09/746,674  
Filed : December 21, 2000  
For : APPARATUS FOR  
INFORMATION  
DISTRIBUTION SYSTEM  
USING COMPUTER AND  
METHOD FOR TRADING  
USING THE  
INFORMATION  
DISTRIBUTION SYSTEM

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

April 17, 2001

(Date)

  
Katsuhiro Arai, Reg. No. 43,315

Group Art Unit : Unknown

TRANSMITTAL LETTER

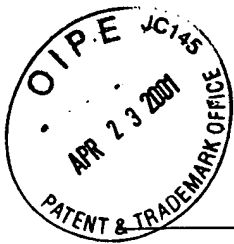
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on February 23, 2001, enclosed are:

- (X) A Declaration and Power of Attorney.
- (X) A Statement Pursuant to Rule 1.52(d), the Declaration for the translation of accuracy.
- (X) An English translation of the Specification in fifteen (15) pages.
- (X) Formal Drawing in two (2) pages.
- (X) A certified copy of a Japanese Patent Application No. 11-377081, filed December 24, 1999.



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(X) A Notice to File Missing Parts.

(X) Return prepaid postcard.

(X) Fees as calculated below:

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NON-ENGLISH SPECIFICATION	\$ 130
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SURCHARGE 37 CFR 1.16(e)	\$ + 130
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TOTAL OF ABOVE CALCULATIONS	\$ 260
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REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.

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Note 37 CFR 1.9, 1.27, 1.28.	\$ - 195
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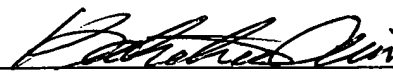
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TOTAL FEES SUBMITTED HERewith	\$ 195
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(X) A check in the amount of \$195.00 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required; now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Katsuhiro Arai  
Registration No. 43,315  
Agent of Record



④ #3

TKIDO.001AUS

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	Yoko Kido	)	Group Art Unit Unknown
			)	
Appl. No.	:	09/746,674	)	
			)	
Filed	:	December 21, 2000	)	
			)	
For	:	APPARATUS FOR	)	
		INFORMATION	)	
		DISTRIBUTION SYSTEM	)	
		USING COMPUTER AND	)	
		METHOD FOR TRADING	)	
		USING THE INFORMATION	)	
		DISTRIBUTION SYSTEM	)	
			)	
Examiner	:	Unknown	)	

STATEMENT PURSUANT TO RULE 1.52(d)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I, Tsuyoshi Kido, 1-42-905, Asahicho, Nishinasuno-machi, Tochigi-ken, 329-2722 Japan, do solemnly and sincerely declare that I understand well both the Japanese and English languages. The attached English language document is a full and accurate translation of the Japanese language document filed on December 21, 2000 as application number 09/746,674.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2001. 4. 10

By: Tsuyoshi Kido  
Tsuyoshi Kido

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,674	12/21/2000	Yoko Kido	TKIDO.001AUS

CONFIRMATION NO. 1647

## FORMALITIES LETTER



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KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

Date Mailed: 02/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

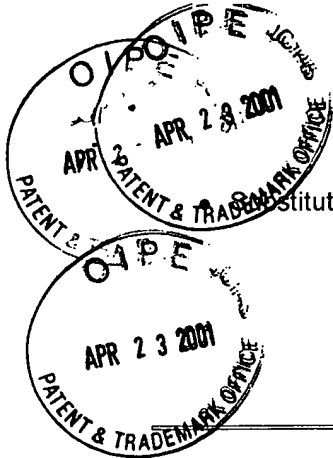
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 195.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

04/25/2001 09:00:00 0000125 09746674  
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stitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice MUST be returned with the reply.*

*ER*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE